

Investors complaints received and redressed from 1-01-2021 to 31-03-2021

| Sr. No | Nature of request/complaints | Opening Balance | Through Stock exchange | Through SEBI | Others | Total | Redressed | Pending |
|--------|--|-----------------|------------------------|--------------|--------|-------|-----------|---------|
| 1 | Delay in transfer of shares | 1 | 0 | 0 | 3 | 4 | 4 | 0 |
| 2 | Non receipts of shares/dividends/ Right/Bonus Shares | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Delay/ non receipts in issue of duplicate shares | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Delay/non receipts of Annual Reports | 0 | 0 | 0 | 1 | 1 | 1 | 0 |
| 5 | Delay/ non receipts of redemption amount of debentures | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6 | Delay/ non receipts of interest on debentures | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7 | Delay/ non receipts of shares in account of broker | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8 | Delay/ non payment of sale proceeds by the broker/etc. | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|----|--|----------|----------|----------|----------|----------|----------|----------|
| 9 | Manipulation of accounts statement | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10 | Unauthorized trades and unauthorized movements of shares and funds from the client account | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11 | Dabba trading/churning etc. in client's account | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 12 | Delay/ non updating of client information in records | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 13 | Miscellaneous (Queries related to debentures/change of address/change of bank details/other general queries) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | TOTAL | 1 | 0 | 0 | 4 | 5 | 5 | 0 |